## PATENT COOPERATIC. TREATY

# From the INTERNATIONAL BUREAU To: **PCT Assistant Commissioner for Patents NOTIFICATION OF ELECTION** United States Patent and Trademark Office (PCT Rule 61.2) **Box PCT** Washington, D.C.20231 **ETATS-UNIS D'AMERIQUE** Date of mailing (day/month/year) in its capacity as elected Office 18 May 2000 (18.05.00) Applicant's or agent's file reference International application No. T298059PC/su PCT/FI99/00720 Priority date (day/month/year) International filing date (day/month/year) 09 September 1998 (09.09.98) 06 September 1999 (06.09.99) **Applicant** KOLMONEN, Juha 1. The designated Office is hereby notified of its election made: X in the demand filed with the International Preliminary Examining Authority on: 07 April 2000 (07.04.00) in a notice effecting later election filed with the International Bureau on: 2. The election was was not made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer

**Nestor Santesso** 

Telephone No.: (41-22) 338.83.38

Form PCT/IB/331 (July 1992)

Facsimile No.: (41-22) 740.14.35

# RECORD COPY

# PCT REQUEST

Original (for SUBMISSION) - printed on 06.09.1999 12:40:47 PM

T298059PC/su

0	For receiving Office use only	PCT/FI99/007 <sup>20</sup>			
0-1	International Application No.	1011.10			
0-2	International Filing Date	0.0 CED 4000 (0.000)			
	The second secon	0 6 SEP 1999 (0 6. 09. 99 )			
0-3	Name of receiving Office and "PCT	The Finnish Patent Office			
	International Application"	PCT International Application			
		POT International reprise			
0-4	Form - PCT/RO/101 PCT Request				
0-4-1	Prepared using	PCT-EASY Version 2.84			
		(updated 01.07.1999)			
0-5	Petition				
	The undersigned requests that the present international application be				
	processed according to the Patent				
	Cooperation Treaty				
0-6	Receiving Office (specified by the applicant)	National Board of Patents and			
	1	Registration (Finland) (RO/FI)			
0-7	Applicant's or agent's file reference	T298059PC/su			
1	Title of invention	TRANSMISSION METHOD AND RADIO SYSTEM			
11	Applicant				
II-1	This person is:	applicant only			
II-2	Applicant for	all designated States except US			
11-4	Name	NOKIA TELECOMMUNICATIONS OY			
11-5	Address:	Keilalahdentie 4			
		FIN-02150 Espoo			
		Finland			
II-6	State of nationality	FI			
11-7	State of residence	FI			
111-1	Applicant and/or inventor				
III-1-1	This person is:	applicant and inventor			
III-1 <i>-</i> 2	Applicant for	US only			
III-1 <b>-4</b>	Name (LAST, First)	KOLMONEN, Juha			
III-1-5	Address:	Koulukatu 9 A 203			
		FIN-90100 Oulu			
		Finland			
III-1-6	State of nationality	FI			
III-1 <b>-</b> 7	State of residence	FI			

## **PCT REQUEST**

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T298059PC/su

IV-1	Agent or common representative; or address for correspondence	
	The person identified below is	agent
	hereby/has been appointed to act on behalf of the applicant(s) before the	
	competent International Authorities as:	-
IV-1-1	Name	PATENTTITOIMISTO TEKNOPOLIS KOLSTER OY
IV-1-2	Address:	C/O Kolster Oy Ab
		Iso Roobertinkatu 23
		P.O. Box 148
	·	FIN-00121 Helsinki
		Finland
IV-1-3	Telephone No.	+ 358 9 618 821
IV-1-4	Facsimile No.	+ 358 9 602 244
IV-1-5	e-mail	Kolster@Kolster.Fi
V	Designation of States	
V-1	Regional Patent (other kinds of protection or treatment, if	AP: GH GM KE LS MW SD SL SZ UG ZW and
	any, are specified between parentheses	any other State which is a Contracting State of the Harare Protocol and of the
	after the designation(s) concerned)	
		PCT EA: AM AZ BY KG KZ MD RU TJ TM and any
		other State which is a Contracting State
	ł	of the Eurasian Patent Convention and of
		the PCT
		EP: AT BE CH&LI CY DE DK ES FI FR GB GR
		IE IT LU MC NL PT SE and any other State
		which is a Contracting State of the
	-	European Patent Convention and of the
		PCT
	1	OA: BF BJ CF CG CI CM GA GN GW ML MR NE
		SN TD TG and any other State which is a
		member State of OAPI and a Contracting
	0,0	State of the PCT
V-2	National Patent	AE AL AM AT (patent and utility model)
	(other kinds of protection or treatment, if any, are specified between parentheses	ATT AN DA DD DC DD DV CA CHELT CN CR CII
	after the designation(s) concerned)	CZ (patent and utility model) DE (patent
		and utility model) DK (patent and
		utility model) DM EE (patent and utility
		model) ES FI (patent and utility model)
		GB GD GE GH GM HR HU ID IL IN IS JP KE
		KG KP KR KZ LC LK LR LS LT LU LV MD MG
		MK MN MW MX NO NZ PL PT RO RU SD SE SG
		SI SK (patent and utility model) SL TJ
		TM TR TT UA UG US UZ VN YU ZA ZW

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/-5	Precautionary Designation Statement		
/-3	In addition to the designations made		
	under items V-1, V-2 and V-3, the		
l	applicant also makes under Rule 4.9(b)	*	
	all designations which would be	-	
	permitted under the PCT except-any		•
	designation(s) of the State(s) indicated		•
	under item V-6 below. The applicant	•	
	declares that those additional		
	designations are subject to confirmation		
	and that any designation which is not confirmed before the expiration of 15		
	months from the priority date is to be		
	regarded as withdrawn by the applicant		٠
	at the expiration of that time limit.		
/-6		NONE	
-0	designations		
<del>/1-1</del>	Priority claim of earlier national		
	application		
√I-1-1	Filing date	09 September 1998 (0	9.09.1998)
√I-1 <b>-</b> 2	Number	98193[4] <sup>**</sup> 5 *	
VI-1-3	Country	FI	
VI-2	Priority document request		
	The receiving Office is requested to	VI-1	
	prepare and transmit to the International	-	
	Bureau a certified copy of the earlier		
	application(s) identified above as		•
	item(s):		(TOR (CH)
VII-1	International Searching Authority Chosen	Swedish Patent Offic	e (ISA/SE)
VIII	Check list	number of sheets	electronic file(s) attached
VIII-1	Request	4	
VIII-2	Description	8	-
VIII-3	Claims	4	-
VIII-4	Abstract	1	t298059p.txt
VIII-5	Drawings	2	-
VIII-7	TOTAL	19	
	Accompanying items	paper document(s) attached	electronic file(s) attached
VIII-8	Fee calculation sheet	<b>√</b>	-
VIII-9	Separate signed power of attorney	<b>√</b>	-
VIII-10	Copy of general power of attorney	<b>√</b>	-
VIII-16		_	diskette
VIII-18	Figure of the drawings which should	2	
	accompany the abstract		
VIII-19	Language of filing of the international application	English	
IX-1	Signature of applicant or agent	Ì	
	1 -		T 1 M H 1 harries
	•	PATENTTITOIMISTO TER	Tapio Valkeiskangas

10-1	Date of actual receipt of the purported international application	0 6 SEP 1999	( 0 6 -09- 1999 )
10-2	Drawings:		
10-2-1	Received		
10-2-2	Not received		

# PCT/F199/00720

4/4

### **PCT REQUEST**

T298059PC/su

Original (for SUBMISSION) - printed on 06.09.1999 12:40:47 PM

10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	-
10-5	International Searching Authority	ISA/SE
10-6	Transmittal of search copy delayed until search fee is paid	

# FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by	
	the International Bureau	

### A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H04B 7/26, H04B 1/38, H04Q 7/38 According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H04B, H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

#### SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5793744 A (MIKKO KANERVA ET AL), 11 August 1998 (11.08.98), column 3, line 44 - column 4, line 56	1,12
A	US 5430760 A (PAUL W. DENT), 4 July 1995 (04.07.95), column 2, line 52 - column 3, line 68, figure 2	1,12
	<del></del>	
P,A	GB 2332598 A (MOTOROLA LIMITED), 23 June 1999 (23.06.99), figure 2, abstract	1-23
	<del></del>	
A	WO 9836508 A1 (NOKIA TELECOMMUNICATIONS OY), 20 August 1998 (20.08.98), abstract	1,12
:		
	·	

"A" document def	* Special categories of cited documents:  "A" document defining the general state of the art which is not considered to be of particular relevance		later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	
"E" erlier document wh cited to estable special reasor "O" document references	ent but published on or after the international filing date ich may throw doubts on priority claim(s) or which is lish the publication date of another citation or other (as specified) erring to an oral disclosure, use, exhibition or other blished prior to the international filing date but later than	"X" "Y"	document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art document member of the same patent family	
Date of the acti	Date of the actual completion of the international search		of mailing of the international search report	
9 February	9 February 2000		1 1 -02- 2000	
Name and mai	Name and mailing address of the ISA/		orized officer	
Swedish Pate			<u>,</u>	
Box 5055, S-1	Box 5055, S-102 42 STOCKHOLM		Fredrik Blomqvist/MN	
Facsimile No.	+ 46 8 666 02 86	Telepl	none No. + 46 8 782 25 00	

Form PCT/ISA/210 (second sheet) (July 1992)

International application No.

PCT/FI 99/00720

Category*	Gitation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
A	GB 2297669 A (NOKIA MOBILE PHONES LIMITED), 7 August 1996 (07.08.96), figure 4, abstract	1-23	
P,X	WO 9910995 A1 (TELEFONAKTIEBOLAGET LM ERICSSON), 4 March 1999 (04.03.99), page 3, column 5 - column 22; page 7, line 19 - page 13, line 20, claims 1-23	1-23	
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# INTERNATIONAL SEARCH REPORT Information on patent family members

02/12/99

International application No.

PCT/FI 99/00720

	itent document in search report		Publication date		Patent family member(s)	Publication date
JS	5793744	A	11/08/98	AU	1099497 A	14/07/97
				CA	2210861 A	26/06/97
				CN	1176030 A	11/03/98
				EΡ	0809901 A	03/12/97
				FI	101332 B	00/00/00
				FI	956087 A	19/06/97
				JP	11501185 T	26/01/99
				NO	973765 A	15/08/97
				WO	9723073 A	26/06/97
JS	5430760	Α	04/07/95	AU	667923 B	18/04/96
				AU	4280693 A	18/11/93
				BR	9305478 A	11/10/94
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				EP	0565507 A	13/10/93
				FI	935525 A	17/01/94
				JP	8502151 T	05/03/96
				NZ	252801 A	25/09/96
				SG	50659 A	20/07/98
				WO	9321692 A	28/10/93
- <b>-</b> 3B	2332598	A	23/06/99	CN	1221267 A	30/06/99
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				FI	970293 A,\	25/07/98
				NO	984433 A	23/11/98
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				FI	950419 A,\	
				FR	2730117 A,E	
				GB	9525966 D	00/00/00
				JP	10513318 T	15/12/984
				NL	1001927 A,	
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				SE	9504541 A	01/08/96
				WO	9624200 A	08/08/96
WO.	9910995	A1	04/03/99	AU	9435298 A	16/03/99



# **PCT**

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER ACT	II JIN	ication of Transmittal of International
T298059/PC/nu	-	Preliminary	Examination Report (Form PCT/IPEA/416)
International application No.	International filing date	(day/month/year)	Priority date (day/month/year)
PCT/FI99/00720	06.09.1999		09.09.1998
International Patent Classification (IPC) o	•		
H 04 B 7/26, H 04 B 1	/38, H 04 Q 7	/38	
			•
Applicant -			
NOKIA NETWORKS OY ET	AL.		
This international preliminary exa     Authority and is transmitted to th	e applicant according to A	rticle 36.	
This REPORT consists of a total of a to	of 4 sheets	, including this cover	sheet.
	pasis for this report and/or	sheets containing rec	on, claims and/or drawings which have tifications made before this Authority he PCT).
These annexes consist of a total of	of sheets		
3. This report contains indications re	elating to the following iter	ms:	
I Basis of the report			
II Priority	-		
III Non-establishment o	f opinion with regard to no	ovelty, inventive step	and industrial applicability
IV Lack of unity of inve		_	
<u> </u>		egard to novelty inve	ntive step or industrial applicability; citations
	porting such statement	gara to noverey, mire.	mive step of massian approximity, creations
VI Certain documents ci	ted		j
VII Certain defects in the	e international application		
VIII Certain observations	on the international applic	cation	
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	· · · · · · · · · · · · · · · · · · ·		
Date of submission of the demand	. [	Date of completion of	of this report
Date of Sacrimonoin of the demand		Date of completion	i mis report
07.04.2000		05.09.2000	
Name and mailing address of the IPEA/SE	l l	Authorized officer	
Patent- och registreringsverket Box 5055	Telex 17978		
S-102 42 STOCKHOLM Facsimile No. 08-667 72 88	PATOREG-S	Fredrik Blo Telephone No. 08-	

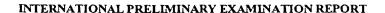
Form PCT/IPEA/409 (cover sheet) (January 1994)



International application No.

PCT/FI99/00720

I. Basis of the report					
1. This report has been drawn on the basis of (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.):					
the international	application as originally file	ed.			
the description,	pages	, as originally filed,			
	pages	, filed with the demand,			
	pages	, filed with the letter of,			
	pages	, filed with the letter of			
the claims,	Nos.	_ , as originally filed,			
		, as amended under Article 19,			
	Nos.	_ , filed with the demand,			
	Nos.	, filed with the letter of,			
	Nos.	, filed with the letter of			
the drawings,	sheets/fig	_ , as originally filed,			
	sheets/fig	_ , filed with the demand			
9	sheets/fig	, filed with the letter of,			
	sheets/fig	, filed with the letter of			
2. The amendments have resulte	ad in the compallation of				
the description,	pages				
the claims,	Nos.	_			
the drawings,	sheets/fig				
<del></del>		-			
This report has been e	stablished as if (some of) the	e amendments had not been made, since they have been considered to go			
		supplemental Box (Rule 70.2(c)).			
4 4112 1 1					
4. Additional observations, if no	ecessary:				
•					
•					
·		,			



International application No. PCT/FI99/00720

V.	Resoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability;
	citations and explanations supporting such statement

1. Statement	<del>-</del> .	•	
Novelty (N)	Claims	1-23	YES
	Claims	-	. ио
Inventive step (IS)	Claims	1-23	YES
•	Claims		NO
Industrial applicability (IA)	Claims	1-23	YES
	Claims	-	NO NO

#### 2. Citations and explanations

The claimed invention relates to a method for a radio system with a base transceiver station acting as a transceiver and subscriber terminals acting as transceivers interconnected by the signal passing through the base station. This speech or data, which contains is coded before transmitted to the radio path and decoded when it is received from it. The radio channel is measured and a control signal is transmitted on the basis of results from a transceiver in discontinuous transmission mode at a lower power than that used for transmitting speech or data signals. The transceiver coding and decoding parameters are then updated to alter the speech and channel coding and decoding rates.

- D1) US 5793744 A
- D2) US 5430760 A

Document **D1** presents a multi-channel high speed data transfer method for digital mobile communication system. It is using non-transparent data connection between transmitter and receiver, and allocating parallel sub-channels corresponding to nominal data transfer rate on radio interface, and retransmitting defective frames.

Document **D2** shows a mobile telephone power control method for random access call set up. It transmits increasingly powerful signal from mobile station until base station responds with acknowledgement message and power indication.

. . . / . . .



#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/FI99/00720

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Box V.

The claimed invention differs from the cited documents in that neither of the cited documents transmits a control signal on the basis of the measurement results from a transceiver in DTX mode to another transceiver in DTX mode, where the control signal is transmitted at a power level which is lower than the power level used in transmitting speech.

Therefore, the invention claimed in claims 1-23 is novel, comprise industrial applicability, and is considered to involve an inventive step.